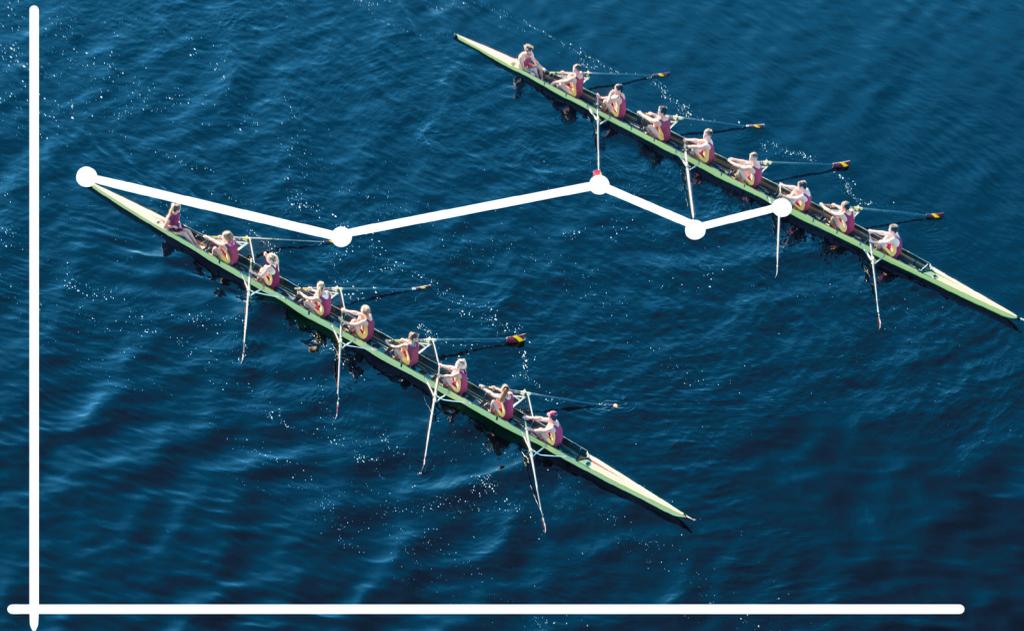


Services



## Fund Hosting by Amundi Services

Full suite of Management Company services for Asset Managers, Distributors & Institutional Investors

Confidence  
must be earned

**Amundi**

September 2020

# Fund Hosting,

a trusted solution for timely  
and cost-effective market access

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## Your trusted partner

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Amundi is Europe's largest asset manager by assets under management and ranks in the top 10<sup>1</sup> globally with leadership positions in key European markets.

Amundi operating platform is supported by shared service centers for Dealing, Middle-Office and technology.

Amundi works with the main Custodians and Fund Administrators.



## European Fund Hosting solutions

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Comprehensive local and cross-border hosting solutions for AIFs and UCITS

- Super ManCo in Luxembourg, Ireland, France & Austria
- Comprehensive range of services, including structuring advice, setting-up of fund vehicle and liaison with local supervisory authorities
- Value-added services, including RFP support, portfolio management, asset allocation advice, manager selection and due diligence as well as distribution support



## Leading third party ManCo in Europe

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Amundi has a strong expertise in the markets where it operates with 30+ clients for Fund Hosting solutions. The assets managed via the ManCo are<sup>2</sup>:

- €12 billion in Luxembourg
- €5 billion in France
- €7 billion in Austria
- Dublin ManCo approved by CBI on April 2020

1. Source: IPE "Top 500 asset managers" published in June 2020 based on AUM at 31 December 2019.  
2. Data as of Q2 2020.

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*Providing our clients with a full range of operational services based on our strong business and regulatory expertise.*

Amundi Services leverages on its local and international experts to assist clients around the world with a full suite of hosting solutions and a broad range of traditional and alternative fund vehicles. As the European leading asset manager<sup>1</sup>, covering all major investment strategies, we offer a secure platform, enhanced with a robust technology, to deliver flexible outsourcing solutions.

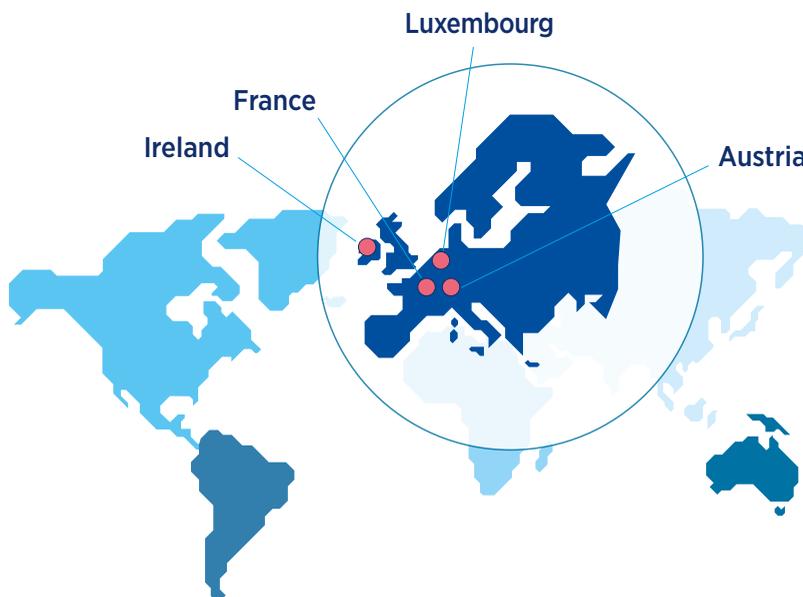
With significant operations in Luxembourg and Ireland, the two leading cross-border fund domiciles, as well as in all major European investment centers, we are able to offer a one stop solution wherever our clients need to be.

These services include fund structuring, marketing, distribution, compliance and risk management solutions supported by Amundi extensive experience. While clients focus on asset growth and investment management activities, Amundi's dedicated team of experts takes care of the day-to-day governance and administration required to ensure an effective supervision of delegated activities and comply with all regulatory requirements.

**Sophie HUBERT**

Head of Fund Hosting Business Line,  
Amundi Services

**FULL SUITE OF MANAGEMENT COMPANY SERVICES IN MAJOR EUROPEAN INVESTMENT CENTERS**



Today, 34 clients<sup>2</sup> around the world trust our Fund Hosting solutions from:

- France
- USA
- UK
- Germany
- Italia
- Switzerland
- Austria
- Spain
- Belgium
- Japan

1. Source: IPE "Top 500 asset managers" published in June 2020 based on AUM at 31 December 2019.  
2. Data as of June 2020.

# Amundi, a trusted partner and the European leader in asset management

## Being a trusted partner means being attentive to its clients' needs

Confidence cannot be given on demand, it must be earned every day by delivering concrete results. This is the guiding principle we have embodied since 2010, and which has led us to develop savings and investment solutions that meet our clients' expectations. We offer all of our clients, whether they are retail, institutional investors or corporates, a full range of investment solutions thanks to our six investment platforms operating in all financial markets.

## Being a trusted partner means being a long-term partner

Together with our 4,500 employees based in nearly 40 countries, we believe that our relationship with our clients should be based on confidence. We provide them with support on a daily basis to build an enduring relationship based on sound advice, long-term performance and a commitment to social responsibility. Our advice to clients is supported by our unique research capabilities and our proven track record in asset management, as well as our high standards of service.

The **no. 1**  
European asset  
manager  
in the global  
top 10<sup>(1)</sup>

Over  
**€1,600** billion  
in assets under  
management

The **highest**  
market  
capitalisation  
in Europe<sup>(2)</sup>

**4,500**  
employees

(1) Source: IPE "Top 500 Asset Managers" published in June 2020, based on assets under management at 31 December 2019.

(2) Among traditional asset managers - Refinitiv, December 2019.



## Being a trusted partner means being a responsible partner

Responsible investment is one of Amundi's cornerstones. We have always believed that companies and financial actors have the responsibility to face today's major challenges, and specifically in energy transition and social inclusion. We believe that taking public interest into account strengthens financial performance. That is why we integrate financial and non-financial analysis into our investment decisions.

## Our ambition, to rank among the top five world leaders for:

- Quality of our investment capabilities and services provided to our clients
- Growth and profitability momentum
- Our positioning as a committed financial player

A presence in nearly  
**40 countries**

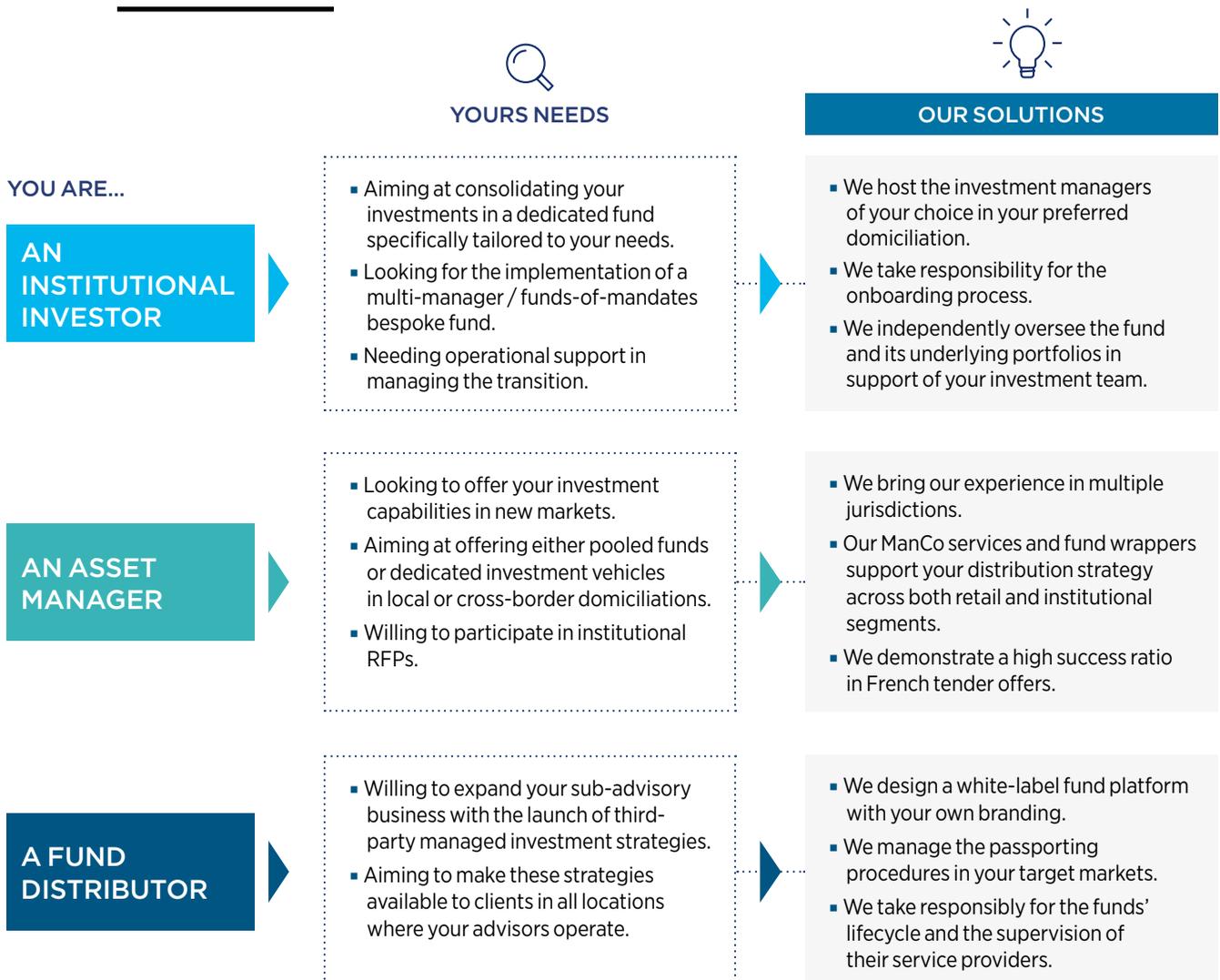
More than  
**100 million** clients

A complete  
**range**  
of active and passive management  
in traditional and real assets

# Fund Hosting solutions to build bridges

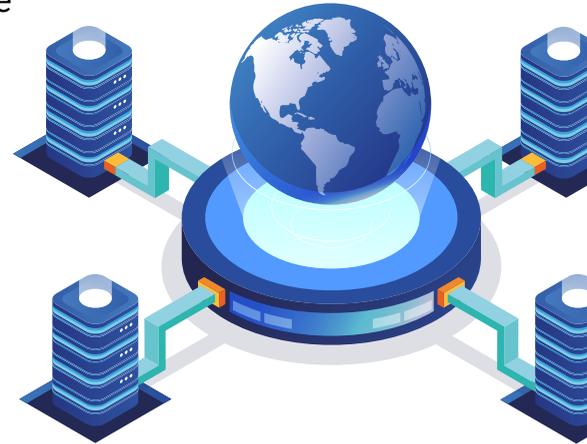
between investors, asset managers and fund promoters

Whether you are an investment manager, a pension fund, an institutional investor, a foundation, a private or investment bank, or a financial intermediary, Amundi Services can deliver a large choice of closed-end/open-end dedicated or shared investment vehicles, varied and adapted to your needs or those of your clients.



# Our core Management Company services

The services are ranging from fund structuring and maintenance through operational support to marketing and distribution. Amundi Services provides substance, governance, compliance and risk management solutions thanks to a seasoned team of local experts and deep EU based resources.



## FUND DESIGN & STRUCTURING ADVICE

- Feasibility assessment, fund solution design, drafting & filing of offering/legal documents
- Choice of reliable service providers & implementation of delegations
- Facilitation of market access (custody account(s) opening, clearing agreements) & IT interfaces
- Project coordination & liaison with relevant supervisory authorities



## FIDUCIARY OVERSIGHT & GOVERNANCE

- Provision of conducting officers/designated persons & fund directors
- Independent risk measurement/management
- Supervision of outsourced functions and reporting to Fund's Board of Directors
- Proxy voting

## OPERATIONAL SUPPORT & REPORTING

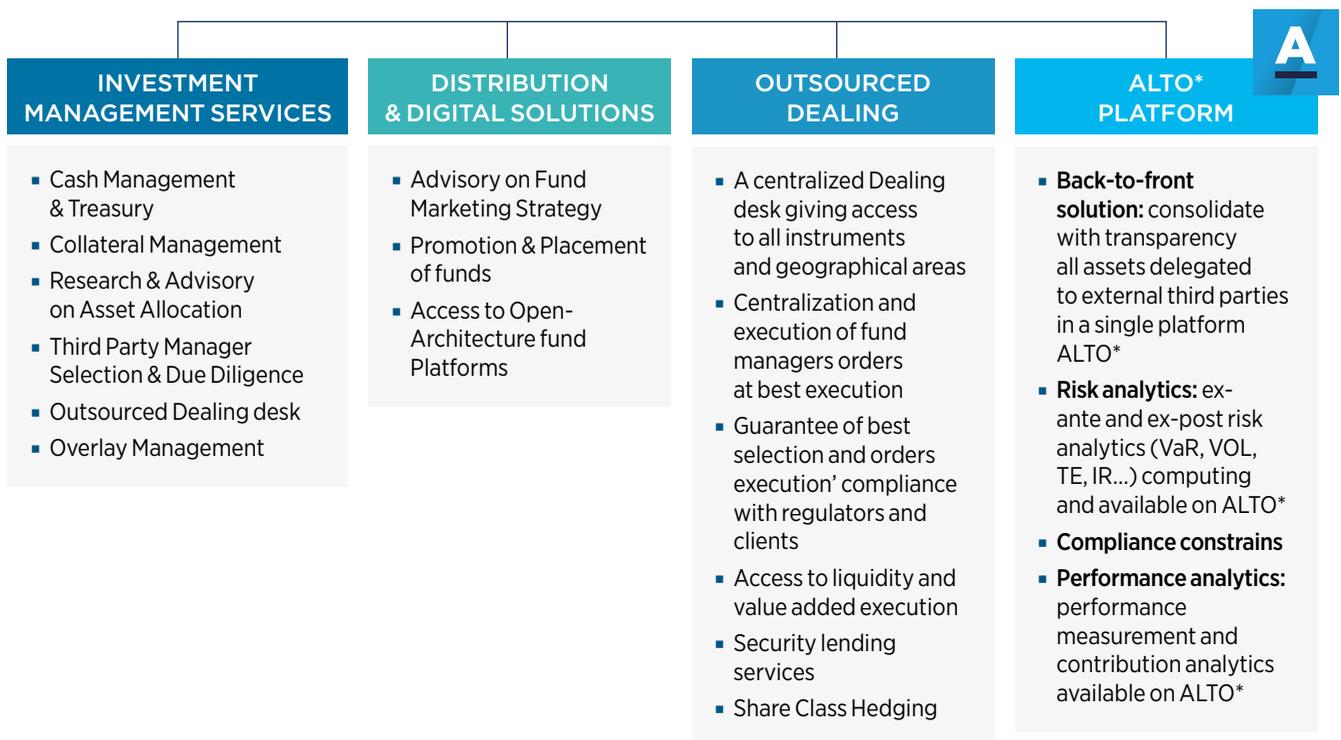
- Coordination of service providers (Administrator/Transfer Agent, Custodian), auditor, legal advisor & fund directors
- Fund documentation maintenance
- Facilitation of regulatory and investor reporting (financial statements, EMIR, KIID/SRRI,...)
- FATCA/CRS (Beps) Officer & Anti-money laundering
- Invoice Management

## DISTRIBUTION SUPPORT & PAN-EU REGISTRATION SERVICES

- Cross-Border Passporting - Preparation and filing of passport notification files/letters with competent supervisory authorities
- Coordination with local legal representatives, paying agents & translation agencies
- Facilitation of Tax reporting

# Additional Value-Added Support Tools & Services

In addition to the core services, Amundi Services provides additional value-added support tools and services in order to offer full and exhaustive ManCo solutions to our clients.



\* Amundi Leading Technologies & Operations

# Fund Hosting and ManCo solutions in Europe

You can rely on our solutions to strengthen your cross-border distribution and to establish your fund presence in: Luxembourg, France, Ireland and Austria.

In addition to these domiciliations, we can offer you other local Fund Hosting solutions in other select markets: Italy, Spain, Singapore, Hong Kong, Japan...

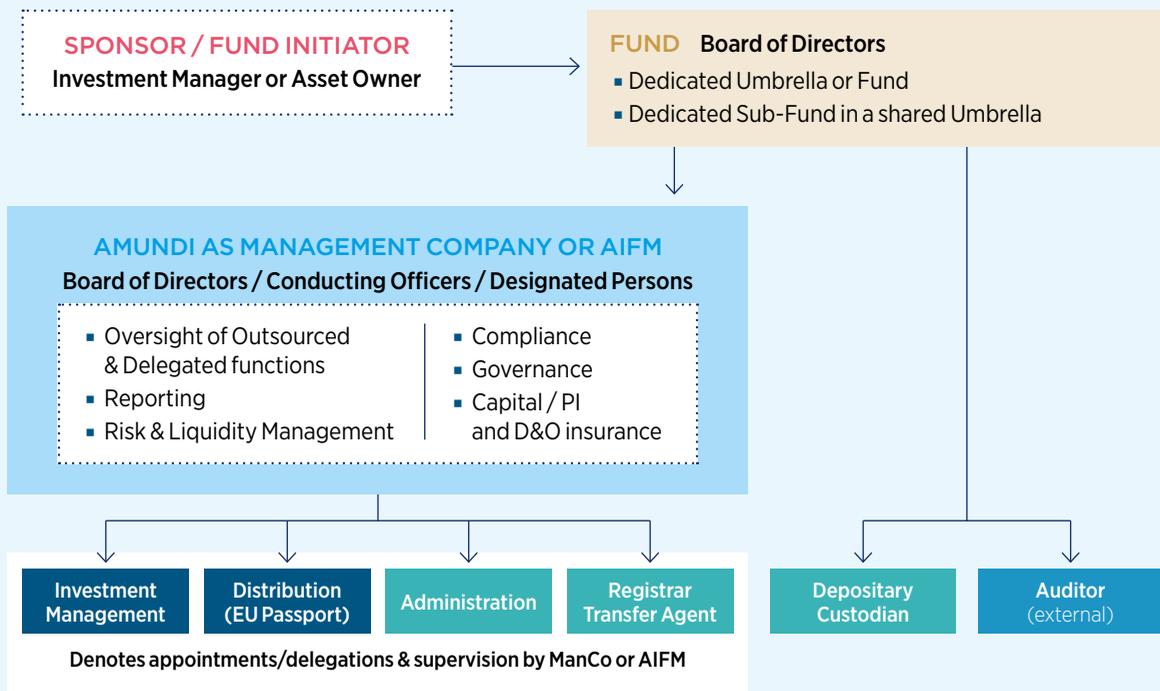
Amundi Services relies on Amundi teams based in 37 locations.



## AGILITY AND PLUG & PLAY

Clients can choose for their own fund/umbrella vehicle or a dedicated sub-fund on a shared Umbrella. We design off-the-shelf and bespoke solutions across multiple fund types and asset classes.

## TYPICAL FUND STRUCTURE & GOVERNANCE FOR UCITS OR AIF SICAV FUND OPERATIONS

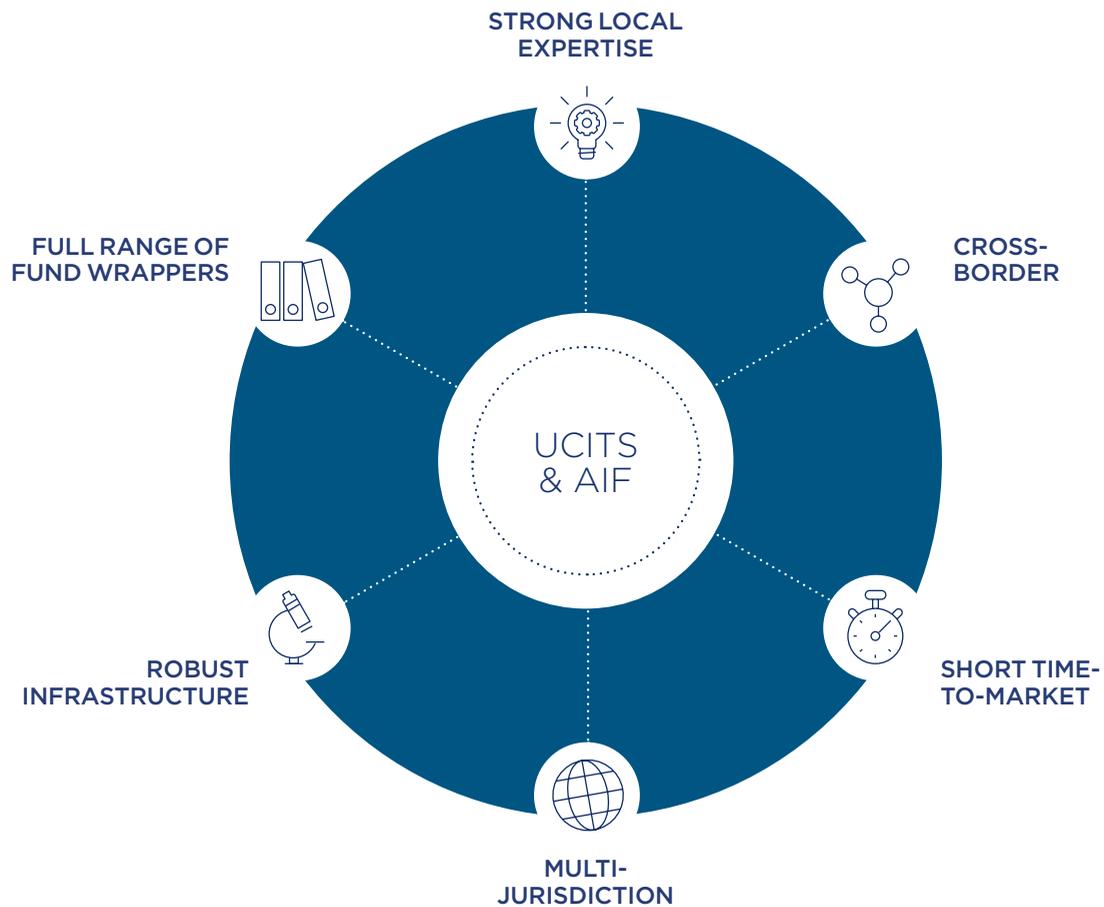


■ Services supported by Amundi   
 ■ Services supported by third parties and/or Client  
■ Open architecture with services supported by Amundi affiliates or other partners

# Why working together?

We help you to focus on Investment Management & Distribution and we provide comprehensive outsourcing solutions that save you time & resources to concentrate on what you do best.

<p><b>STRONG LOCAL EXPERTISE FOR OPTIMAL MARKET ACCESS</b></p>	<ul style="list-style-type: none"> <li>▪ <b>Seasoned industry professionals</b> with proven history and in-depth experience of local regulations and complex international fund structuring</li> <li>▪ <b>Long-established relationships with local service providers</b> providing economies of scale</li> </ul>
<p><b>CROSS-BORDER</b></p>	<ul style="list-style-type: none"> <li>▪ <b>Established presence in both Luxembourg and Ireland:</b> On-the-ground resources in the 2 leading European domiciles for cross-border fund distribution</li> </ul>
<p><b>SHORT TIME-TO-MARKET</b></p>	<ul style="list-style-type: none"> <li>▪ <b>White-label fund solutions:</b> “plug-&amp;-play” platforms leveraging on readily available umbrella funds</li> <li>▪ <b>Extensive connectivity</b> with fund administrators, custodians and distributors</li> </ul>
<p><b>MULTI-JURISDICTION</b></p>	<ul style="list-style-type: none"> <li>▪ <b>Operational Substance:</b> Amundi fulfils the domestic regulatory substance &amp; fund governance requirements as mandated by local EU regulators</li> <li>▪ <b>Regulatory Capital:</b> Amundi meets the minimum capital requirement, allowing our clients to establish offshore funds without incurring the costs of operating their own management company</li> </ul>
<p><b>FLEXIBLE &amp; MODULAR SOLUTIONS</b></p>	<ul style="list-style-type: none"> <li>▪ <b>Broad range of UCITS &amp; AIF wrappers</b></li> <li>▪ <b>Bespoke dedicated funds:</b> Our solutions can be fully customised to sponsor specifications</li> <li>▪ <b>Independent Solution:</b> Amundi can work with our client’s own choice of service providers</li> </ul>
<p><b>SOLID INFRASTRUCTURE</b></p>	<ul style="list-style-type: none"> <li>▪ <b>Strong Corporate Governance:</b> Scalable systems, processes &amp; procedures</li> <li>▪ <b>Robust technology</b> originating from in-house portfolio management capabilities</li> <li>▪ <b>Peace of Mind For Investors &amp; Partners:</b> Amundi is a stable and profitable listed Asset Manager with well-capitalised management</li> <li>▪ <b>Oversight of Service Providers:</b> Effective supervision of the fund’s service providers by dedicated Amundi teams</li> </ul>



# Contacting us

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# About Amundi Services

Amundi Services is a dedicated Business Line in charge of offering outsourced solutions for technology & operations to Asset Managers and institutional investors. Amundi Services supports clients reshaping their operating model and is committed to provide high value and quality services to his clients, based on reliable, industrial and fully integrated set of services.

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- **Dealing** activities for all asset classes and geographies
- **ALTO\***, the **Portfolio Management System (PMS)**, a Software as a Services platform with a set of technology and operational services (Dealing, Middle Office and Data Management)
- **Fund Hosting via ManCo services** in France, Luxembourg, Austria and Ireland with a set of value-added portfolio management services and distribution support.

# About ALTO\*

ALTO\* is a Portfolio Management System (PMS) covering the entire Asset Management value chain and providing a 360° portfolio view for all asset classes.

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ALTO\* offers a cross-asset and integrated front-to-back solution, covering the entire investment management life cycle, including position keeping, portfolio analysis and simulation, order booking and execution, compliance, performance and reporting. Its comprehensive functional flexibility allows to adapt to all types of investors: Asset managers, Insurance companies, Pension Funds, Family Office. ALTO\* fully integrated solution provides a 360° view of all information required to analyze, manage, control portfolios. It covers all asset classes and facilitates portfolios managers decision-making in real-time.

**ALTO**

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**Amundi Leading  
Technologies & Operations**



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Design and production: Amundi’s Graphic Studio - Communication Department 10/2020.